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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,953	10/17/2001	Kota Nezu	110352

CONFIRMATION NO. 4074

FORMALITIES LETTER



OC000000007086204

25944
OLIFF & BERRIDGE, PLC
P.O. BOX 19928
ALEXANDRIA, VA 22320

Date Mailed: 11/19/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

D. Washburn
Customer Service Center

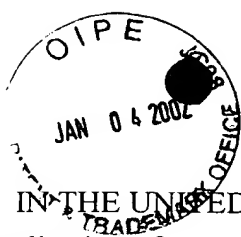
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BSAYAS11 00000032 09977953

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N Sector
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kota NEZU et al.

Attn: Box Missing Parts

Application No.: 09/977,953

Filed: October 17, 2001

Docket No.: 110352

For: ELECTRONIC BUSINESS TRANSACTION ASSISTING SYSTEM AND
METHOD

RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date
Granted (copy attached) mailed on November 19, 2001, submitted herewith is the executed
Declaration of the inventors. Any specification attached to and referenced in the Declaration
is a copy of the specification and any amendments thereto which were filed in the Office in
order to obtain a filing date for the application.

Attached is our Check No. 126590 for ☒\$130.00 ☐\$65.00 (entitlement to
small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully
satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and
allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any
overpayment) associated with this communication to Deposit Account No. 15-0461. Two
duplicate copies of this paper are attached.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Richard S. Elias
Registration No. 48,806

JAO:RSE/mlb

Date: January 4, 2002

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

In re the Application of

Kota NEZU et al.

Application No.: 09/977,953

Docket No.: 110352

Filed: October 17, 2001

ELECTRONIC BUSINESS TRANSACTION ASSISTING SYSTEM AND METHOD

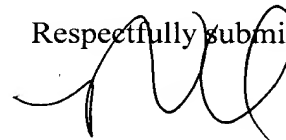
REQUEST FOR CORRECTION OF PALM RECORDS

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. Also attached is a corrected application data sheet. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

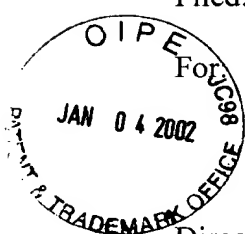
Richard S. Elias
Registration No. 48,806

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**DEPOSIT ACCOUNT USE
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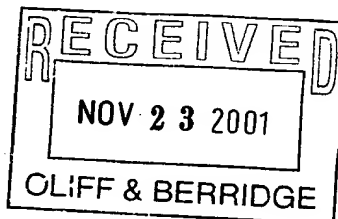
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/977,953	10/17/2001	2161	1244	110352	6	20	9

25944

CLIFF & BERRIDGE, PLC

P.O. BOX 19928

ALEXANDRIA, VA 22320



CONFIRMATION NO. 4074

FILING RECEIPT



OC000000007086203

Date Mailed: 11/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Assignment For Published Patent Application

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Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-319580 10/19/2000

If Required, Foreign Filing License Granted 11/16/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Electronic business transaction assisting system and method